

# Online Smoothing for Markerless Motion Capture<sup>\*</sup>

Bodo Rosenhahn<sup>1</sup>, Thomas Brox<sup>2</sup>, Daniel Cremers<sup>2</sup>, and Hans-Peter Seidel<sup>1</sup>

<sup>1</sup> Max Planck Center Saarbrücken, Germany  
rosenhahn@mpi-inf.mpg.de

<sup>2</sup> CVPR Group, University of Bonn, Germany

**Abstract.** Tracking 3D objects from 2D image data often leads to jittery tracking results. In general, unsmooth motion is a sign of tracking errors, which, in the worst case, can cause the tracker to lose the tracked object. A straightforward remedy is to demand temporal consistency and to smooth the result. This is often done in form of a post-processing. In this paper, we present an approach for online smoothing in the scope of 3D human motion tracking. To this end, we extend an energy functional by a term that penalizes deviations from smoothness. It is shown experimentally that such online smoothing on pose parameters and joint angles leads to improved results and can even succeed in cases, where tracking without temporal consistency assumptions fails completely.

## 1 Introduction

Tracking 3D objects from 2D images is a well known task in computer vision with various approaches such as edge based techniques [8], particle filters [7], or region-based methods [14,1], just to name a few. Due to ambiguities in the image data, many tracking algorithms produce jittery results. On the other hand, smoothing assumptions of the observed motion can be made due to the inertness of the masses of involved objects. This means, that it is physically unlikely that an object continuously moved by a robot arm or human hand is rapidly changing the direction or even jittering, unless there are physiological diseases. Many tracking procedures do not take this property into account. Hence, the outcome tends to wobble around the true center of the tracked object. To receive a more appealing outcome, the results are often smoothed in a second post-processing step. However, jittery results often indicate errors or ambiguities during tracking. Thus, introducing temporal consistency already during the estimation, can help to eliminate errors at the root of the problem.

In case of human motion capturing and animation, several approaches exist in the literature to smooth motions of joints during synthesis. Bruderlin et al. [3] use a multi target motion interpolation with dynamic time warping in a signal based approach or Sul et al. [16] and Ude et al. [17] propose an extended Kalman filter. While these works have only addressed the smoothing of joint angles, the smoothing of 3D rigid body motions has been addressed in other works: Chaudhry et al. [6] smooth Euler angles and translation vectors. Shoemake [15] proposes quaternions for rotation animation (and interpolation) combined with translation vectors. Park et al. [12] use a rational

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interpolating scheme for rotations by representing the group with Cayley parameters and using Euclidean methods in this parameter space. Belta et al. [4] propose a Lie-group and Lie-algebra representation in terms of an exponential mapping and twists to interpolate rigid body motions.

All these works concentrate on the synthesis, smoothing, and interpolation of given motion patterns, whereas in this work we smooth estimated motions online during a tracking procedure: we use a previously developed markerless motion capture system, which performs image segmentation and pose tracking of articulated 3D free-form surface models. In complex scenes (e.g. outdoor environments), we frequently observed the effect of motion jitter as a precursor to tracking failure. Therefore, in this work, we supplement a penalizer to the existing error functional in order to reduce large jitter effects. Whereas the penalizer term for joint angles (as scalar functions) is pretty straightforward, the challenging aspect is to formalize penalizers for rigid body motions. To achieve this, we use exponentials of twists to represent rigid body motions (RBMs) and a *logarithm* to determine from a given RBM the generating twist, similar to the motion representation in [11,12]. The gradient of the penalizer leads to linear equations, which can easily be integrated in the numerical optimization scheme as additional constraints. In several experiments in the field of markerless motion capture, we demonstrate the improvements obtained with the integrated smoothness assumptions. As we cannot give a complete overview on the vast variety of existing motion capture systems, we refer to the surveys [9,10].

## 2 Foundations

In this section, we introduce mathematical foundations needed for the motion penalizer, in particular the twist representation of a rigid body motion and the conversion from the twist to the group action as well as vice-versa. Both conversions are needed later in Section 4 for the smoothing of rigid body motions.

### 2.1 Rigid Body Motion and Its Exponential Form

Instead of using concatenated Euler angles and translation vectors, we use the twist representation of rigid body motions, which reads in exponential form [11]:

$$M = \exp(\theta \hat{\xi}) = \exp \begin{pmatrix} \hat{\omega} & v \\ 0_{3 \times 1} & 0 \end{pmatrix} \quad (1)$$

where  $\theta \hat{\xi}$  is the matrix representation of a twist  $\xi \in se(3) = \{(v, \hat{\omega}) | v \in \mathbb{R}^3, \hat{\omega} \in so(3)\}$ , with  $so(3) = \{A \in \mathbb{R}^{3 \times 3} | A = -A^T\}$ . The Lie algebra  $so(3)$  is the tangential space of all 3D rotations. Its elements are (scaled) rotation axes, which can either be represented as a 3D vector or a skew symmetric matrix:

$$\theta \omega = \theta \begin{pmatrix} \omega_1 \\ \omega_2 \\ \omega_3 \end{pmatrix}, \text{ with } \|\omega\|_2 = 1 \quad \theta \hat{\omega} = \theta \begin{pmatrix} 0 & -\omega_3 & \omega_2 \\ \omega_3 & 0 & -\omega_1 \\ -\omega_2 & \omega_1 & 0 \end{pmatrix}. \quad (2)$$

A twist  $\xi$  contains six parameters and can be scaled to  $\theta \hat{\xi}$  for a unit vector  $\omega$ . The parameter  $\theta \in \mathbb{R}$  corresponds to the motion velocity (i.e., the rotation velocity and pitch).

For varying  $\theta$ , the motion can be identified as screw motion around an axis in space. The six twist components can either be represented as a 6D vector or as a  $4 \times 4$  matrix:

$$\theta \xi = \theta(\omega_1, \omega_2, \omega_3, v_1, v_2, v_3)^T, \|\omega\|_2 = 1, \quad \theta \hat{\xi} = \theta \begin{pmatrix} 0 & -\omega_3 & \omega_2 & v_1 \\ \omega_3 & 0 & -\omega_1 & v_2 \\ -\omega_2 & \omega_1 & 0 & v_3 \\ 0 & 0 & 0 & 0 \end{pmatrix}. \quad (3)$$

**se(3) to SE(3).** To reconstruct a group action  $M \in SE(3)$  from a given twist, the exponential function  $M = \exp(\theta \hat{\xi}) = \sum_{k=0}^{\infty} \frac{(\theta \hat{\xi})^k}{k!}$  must be computed. This can be done efficiently via

$$\exp(\theta \hat{\xi}) = \begin{pmatrix} \exp(\theta \hat{\omega}) & (I - \exp(\theta \hat{\omega}))(\omega \times v) + \omega \omega^T v \theta \\ 0 & 1 \end{pmatrix} \quad (4)$$

and by applying the Rodriguez formula

$$\exp(\theta \hat{\omega}) = I + \hat{\omega} \sin(\theta) + \omega^2 (1 - \cos(\theta)). \quad (5)$$

This means, the computation can be achieved by simple matrix operations and sine and cosine evaluations of real numbers. This property was exploited in [2] to compute the pose and kinematic chain configuration in an orthographic camera setup.

**SE(3) to se(3).** In [11], a constructive way is given to compute the twist which generates a given rigid body motion. Let  $R \in SO(3)$  be a rotation matrix and  $t \in \mathbb{R}^3$  a translation vector for the rigid body motion

$$M = \begin{pmatrix} R & t \\ 0 & 1 \end{pmatrix}. \quad (6)$$

For the case  $R = I$ , the twist is given by

$$\theta \xi = \theta(0, 0, 0, \frac{t}{\|t\|}), \quad \theta = \|t\|. \quad (7)$$

In all other cases, the motion velocity  $\theta$  and the rotation axis  $\omega$  are given by

$$\theta = \cos^{-1} \left( \frac{\text{trace}(R) - 1}{2} \right), \quad \omega = \frac{1}{2 \sin(\theta)} \begin{pmatrix} r_{32} - r_{23} \\ r_{13} - r_{31} \\ r_{21} - r_{12} \end{pmatrix}.$$

To obtain  $v$ , the matrix

$$A = (I - \exp(\theta \hat{\omega})) \hat{\omega} + \omega \omega^T \theta \quad (8)$$

obtained from the Rodriguez formula (see Equation (4)) needs to be inverted and multiplied with the translation vector  $t$ ,

$$v = A^{-1} t. \quad (9)$$

This follows from the fact that the two matrices which comprise  $A$  have mutually orthogonal null spaces when  $\theta \neq 0$ . Hence,  $Av = 0 \Leftrightarrow v = 0$ . We call the transformation from  $SE(3)$  to  $se(3)$  the logarithm,  $\log(M)$ .

## 2.2 Kinematic Chains

Our models of articulated objects, e.g. humans, are represented in terms of free-form surfaces with embedded kinematic chains. A kinematic chain is modeled as the consecutive evaluation of exponential functions, and twists  $\hat{\xi}_i$  are used to model (known) joint locations [11]. The transformation of a mesh point of the surface model is given as the consecutive application of the local rigid body motions involved in the motion of a certain limb:

$$X'_i = \exp(\theta \hat{\xi}) (\exp(\theta_1 \hat{\xi}_1) \dots \exp(\theta_n \hat{\xi}_n)) X_i. \quad (10)$$

For abbreviation, we note a pose configuration by the  $(6+n)$ -D vector  $\chi = (\xi, \theta_1, \dots, \theta_n) = (\xi, \Theta)$  consisting of the 6 degrees of freedom for the rigid body motion  $\xi$  and the  $n$ D vector  $\Theta$  comprising the joint angles. In the MoCap-setup, the vector  $\chi$  is unknown and has to be determined from the image data.

## 2.3 Pose Estimation from Point Correspondences

Assuming an extracted image contour and the silhouette of the projected surface mesh, closest point correspondences between both contours can be used to define a set of corresponding 3D rays and 3D points. Then a 3D point-line based pose estimation algorithm for kinematic chains is applied to minimize the spatial distance between both contours: for point based pose estimation each line is modeled as a 3D Plücker line  $L_i = (n_i, m_i)$ , with a unit direction  $n_i$  and moment  $m_i$  [11]. For pose estimation the reconstructed Plücker lines are combined with the screw representation for rigid motions. Incidence of the transformed 3D point  $X_i$  with the 3D ray  $L_i = (n_i, m_i)$  can be expressed as

$$(\exp(\theta \hat{\xi}) X_i)_{3 \times 1} \times n_i - m_i = 0. \quad (11)$$

Since  $\exp(\theta \hat{\xi}) X_i$  is a 4D vector, the homogeneous component (which is 1) is neglected to evaluate the cross product with  $n_i$ . This nonlinear equation system can be linearized in the unknown twist parameters by using the first two elements of the sum representation of the exponential function:

$$\exp(\theta \hat{\xi}) = \sum_{i=0}^{\infty} \frac{(\theta \hat{\xi})^i}{i!} \approx (I + \theta \hat{\xi}). \quad (12)$$

This approximation is used in (11) and leads to the linear equation system

$$((I + \theta \hat{\xi}) X_i)_{3 \times 1} \times n_i - m_i = 0. \quad (13)$$

Gathering a sufficient amount of point correspondences and appending the single equation systems, leads to an overdetermined linear system of equations in the unknown pose parameters  $\theta \hat{\xi}$ . The least squares solution is used for reconstruction of the rigid body motion using Equation (4) and (5). Then the model points are transformed and a new linear system is built and solved until convergence. The final pose is given as the consecutive evaluation of all rigid body motions during iteration.

Since joints are expressed as special screws with no pitch of the form  $\theta_j \hat{\xi}_j$  with known  $\hat{\xi}_j$  (the location of the rotation axes is part of the model) and unknown joint angle  $\theta_j$ . The constraint equation of an  $i$ th point on a  $j$ th joint has the form

$$(\exp(\theta \hat{\xi}) \exp(\theta_1 \hat{\xi}_1) \dots \exp(\theta_j \hat{\xi}_j) X_i)_{3 \times 1} \times n_i - m_i = 0 \quad (14)$$

which is linearized in the same way as the rigid body motion itself. It leads to three linear equations with the six unknown twist parameters and  $j$  unknown joint angles.

### 3 Markerless Motion Capture

The motion capturing model we use in this work can be described by an energy functional, which is sought to be minimized [13]. It comprises a level set based segmentation, similar to the Chan-Vese model [5], and a shape term that states the pose estimation task:

$$E(\Phi, p_1, p_2, \chi) = \underbrace{- \int_{\Omega} (H(\Phi) \log p_1 + (1 - H(\Phi)) \log p_2 + \nu |\nabla H(\Phi)|) dx}_{\text{segmentation}} + \lambda \underbrace{\int_{\Omega} (\Phi - \Phi_0(\chi))^2 dx}_{\text{shape error}} \quad (15)$$

The function  $\Phi \in \Omega \mapsto \mathbb{R}$  serves as an implicit contour representation. It splits the image domain  $\Omega$  into two regions  $\Omega_1$  and  $\Omega_2$  with  $\Phi(x) > 0$  if  $x \in \Omega_1$  and  $\Phi(x) < 0$  if  $x \in \Omega_2$ . Those two regions are accessible via the step function  $H(s)$ , i.e.,  $H(\Phi(x)) = 1$  if  $x \in \Omega_1$  and  $H(\Phi(x)) = 0$  otherwise. Probability densities  $p_1$  and  $p_2$  measure the fit of an intensity value  $I(x)$  to the corresponding region. They are modeled by local Gaussian distributions [14]. The length term weighted by  $\nu > 0$  ensures the smoothness of the extracted contour.

By means of the contour  $\Phi$ , the contour extraction and pose estimation problems are coupled. In particular, the projected surface model  $\Phi_0$  acts as a shape prior to support the segmentation [14]. The influence of the shape prior on the segmentation is steered by the parameter  $\lambda = 0.05$ .

Due to the nonlinearity of the optimization problem, an iterative minimization scheme is chosen: first the pose parameters  $\chi$  are kept constant, while the functional is minimized with respect to the partitioning. Then the contour is kept constant, while the pose parameters are determined to fit the surface mesh to the silhouettes (Section 2.3).

### 4 Penalizing Motion Jitter

To avoid motion jitter, the idea is to extend the energy functional in (15) by an additional error term that penalizes deviations of the estimated pose from a smooth prediction generated from the poses of previous frames.

Such a prediction  $\underline{\chi} = (\underline{\xi}, \underline{\Theta})$  (as global pose) can be computed by means of the joint angle derivatives,

$$\underline{\Theta} = \Theta_t^s + \partial \Theta_t^s = \Theta_t^s + (\Theta_t^s - \Theta_{t-1}^s), \quad (16)$$

and the twist that represents the predicted position,

$$\underline{\hat{\xi}} = \log \left( \exp(\hat{\xi}_t) \exp(\hat{\xi}_{t-1})^{-1} \exp(\hat{\xi}_t) \right), \quad (17)$$

see Section 2.1. The deviation of the estimate  $\chi = (\xi, \Theta)$  from the prediction can now be measured by

$$E_{Smooth} = |\log \left( \exp(\underline{\hat{\xi}}) \exp(\hat{\xi})^{-1} \right)|^2 + |\underline{\Theta} - \Theta|^2. \quad (18)$$

Notice that the deviation of the rigid body motion is modeled by the minimal geodesics between the current and predicted pose.

This error value is motivated from the exponential form of rigid body motions: since we linearize the pose, see (13), we have to do exactly the same here. The derivative of the joint angles is simply given by  $\underline{\Theta} - \Theta$ . To compute the motion derivative we can apply the logarithm from Section 2.1 to get a linearized geodesic [11]. This follows from the fact that the spatial velocity corresponding to a rigid motion generated by a screw action is precisely the velocity generated by the screw itself. To see this, we first set

$$\exp(\hat{\xi}') := \exp(\underline{\hat{\xi}}) \exp(\hat{\xi})^{-1}, \quad (19)$$

with  $\xi' = \log(\exp(\underline{\hat{\xi}}) \exp(\hat{\xi})^{-1})$ . Let  $g(0) \in \mathbb{R}^3$  be a point transformed to

$$g(\theta) = \exp(\hat{\xi}'\theta)g(0). \quad (20)$$

The spatial velocity of the point is given by [11]

$$\hat{V} = \dot{g}(\theta)g^{-1}(\theta). \quad (21)$$

Since,

$$\frac{d}{dt}(\exp(\hat{\xi}'\theta)) = \hat{\xi}'\dot{\theta} \exp(\hat{\xi}'\theta), \quad (22)$$

we have

$$\hat{V} = \dot{g}(\theta)g^{-1}(\theta) \quad (23)$$

$$= \hat{\xi}'\dot{\theta} \exp(\hat{\xi}'\theta)g(0)g^{-1}(\theta) \quad (24)$$

$$= \hat{\xi}'\dot{\theta}g(\theta)g^{-1}(\theta) = \hat{\xi}'\dot{\theta}. \quad (25)$$

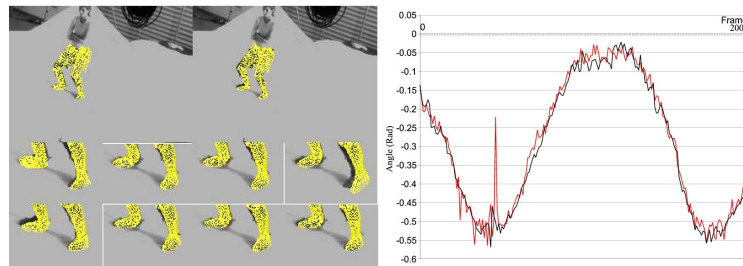
After setting  $\dot{\theta} = 1$  ( $\theta = t$ ), the linearized penalizer term acts as additional linear equation to the pose constraints which further regularize the equations,

$$\frac{\partial E_{Smooth}}{\partial \chi} = (\log(\exp(\underline{\hat{\xi}}) \exp(\hat{\xi})^{-1}), \underline{\Theta} - \Theta)^\top = 0. \quad (26)$$

Equation (26) yields an additional constraint for each parameter that draws the solution towards the prediction. Note that we do not perform an offline smoothing in a second processing step. Instead, the motion jitter is penalized online in the estimation procedure, which does not only improve the smoothness of the result, but also stabilizes the tracking.

## 5 Experiments

The experiments are subdivided into indoor and outdoor experiments. The indoor experiments allow for a controlled environment. The outdoor experiments demonstrate the applicability of our method to quite a tough task: markerless motion capture of highly dynamic sporting activities with non-controlled background, changing lighting conditions and full body models.



**Fig. 1.** Left: Example frames of a knee bending sequence. Right: Quantization of outcome: Red: without penalizer, blue: with penalizer. The Penalizer function is suited to penalize rapid movement changes during tracking, not the smaller ones.

### 5.1 Indoor Experiments

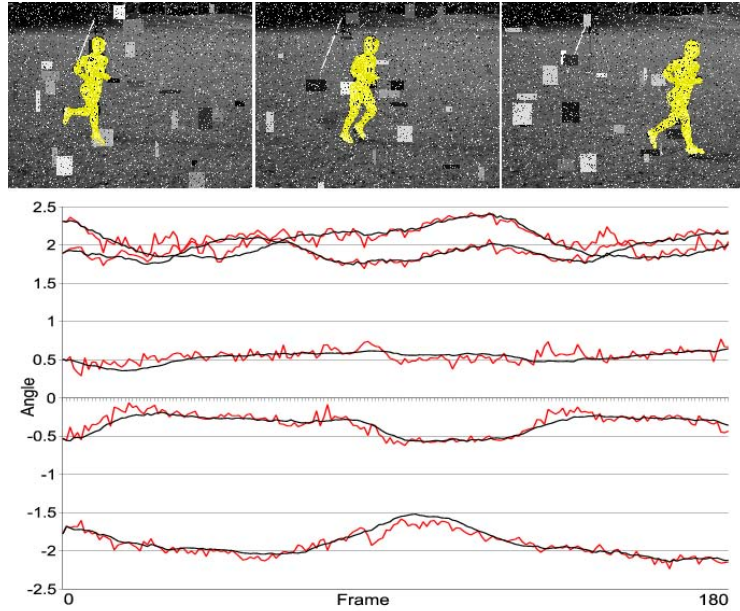
For indoor experiments we use a parameterized mesh model of legs, represented as free-form surface patches.

Figure 1 shows in the left several consecutive example frames of a knee-bending scene in the lab environment. The smaller images in the first row show 4 example feet positions without a smoothness assumption and the last row shows feet positions with such an assumption. The motion jitter in these four consecutive frames is suppressed. The effect is quantified in the right of Figure 1. Here we have overlaid knee angles. The red values indicate the result of the system without the jitter penalizer and the blue one is the outcome with the incorporated penalizer. As can be seen, the penalizer decreases rapid motion changes, but maintains the smaller ones. The red peak around frame 50 is due to a corrupted frame, similar to the one in Figure 3

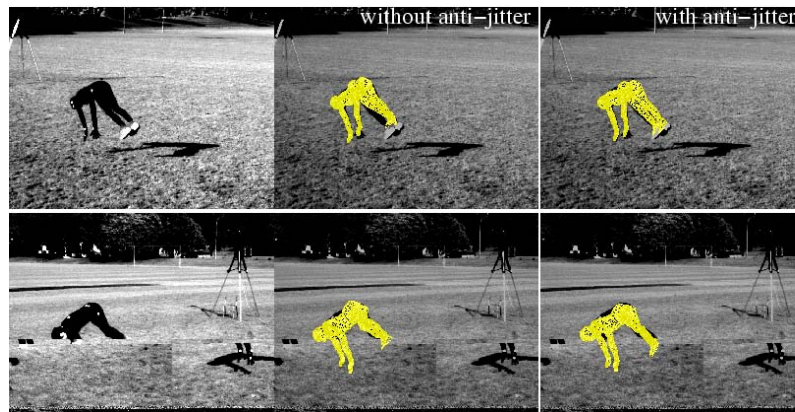
### 5.2 Outdoor Experiments

In our outdoor experiments we use two full body models of a male and female person with 26 degrees of freedom. Different sequences were captured in a four-camera setup (60 fps) with Basler gray-scale cameras. Here we report on a running trial and a coupled cartwheel flick-flack sequence, due to their high dynamics and complexity.

Figure 2 summarizes results of the running trial: all images have been disturbed by 15% uncorrelated noise and random rectangles of random color and size. Tracking is successful in both cases, with the smoothness assumption and without it. However,



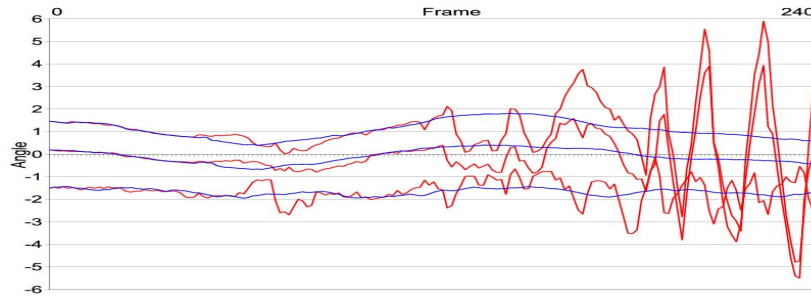
**Fig. 2.** Running trial of a male person. Top: The images have been disturbed with uncorrelated noise of 15% and random rectangles of random color and size. Bottom: Comparison of (some) joint angles: Red: Without jitter penalizer, black: with jitter penalizer. The curves reveal, that with the jitter penalizer the motion is much smoother.



**Fig. 3.** Tracking in an outdoor environment: corrupted frames can cause larger errors, which are avoided by adding the penalizer function

the diagram reveals that the curves with a smoothness constraint are much smoother. A comparison with a hand-labeled marker-based tracking system revealed an average error of 5.8 degrees between our result and the marker-based result. More importantly,





**Fig. 4.** Red: Tracking fails, Blue: Tracking is successful



**Fig. 5.** Example frames of the (successfully tracked) Cartwheel-Flick-Flack sequence in a virtual environment. The small images show one of the four used cameras.

the variance between our method and the marker-based method has been reduced from 12 degrees to 5 degrees by using the jitter penalizer.

Another impact of our approach is shown in Figure 3: when grabbing images of a combined cartwheel and flick-flack, some frames were stored completely wrong, resulting in leg crossings and self intersections. Due to the smoothness term, the rapid leg movement is reduced and self-intersection avoided. Because of such noise effects, the tracking fails in the latter part of the sequence, see Figure 4, whereas it is successful with the integrated smoothness constraint. This shows that the smoothness assumption can make the difference between a successful tracking and an unsuccessful one. Figure 5 shows key frames of the successfully tracked sequence.

## 6 Summary

In this work, we have presented an extension of a previously developed markerless motion capture system by integration of a smoothness constraint, which suppresses 3D motion jitter during tracking. In various experiments we have shown that the outcome is smoother and more realistic. There is no need for a second processing step to post-smooth the data. We have further shown that the additional penalizer can be decisive for successful tracking. It also acts as a regularizer that prevents singular systems of equations. In natural scenes, such as human motion tracking or 3D rigid object tracking, the results are generally improved, since an assumption of smooth motion is reasonably due to the involved inertness of masses.

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